



BOARD OF ETHICS  
CITY OF CHICAGO

**To:** The Honorable Pat Dowell  
Chairman, Committee on the Budget and Government Operations

**From:** Steve Berlin, Executive Director

**CC:** Tiffany Sostrin  
Mayor's Office of Intergovernmental Affairs

**Date:** November 4, 2019

**Re:** Request for Information from Annual Appropriation Committee Hearing

**ID#:** 78-01 Ethics Ordinance

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The attached information is in response to questions posed at our department's hearing on November 1, 2019 to discuss the proposed 2020 budget.

Alderman Osterman requested a list of Board recommended changes to the Ethics Ordinance; including the May recommendations.

The Board's latest round of suggested changes, made public on May 30, 2019, is posted on our website here:

<https://www.chicago.gov/content/dam/city/depts/ethics/general/memos/PressRelMay2019.pdf>

As always, please let me know if you have any further questions.



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**From:** Steve Berlin, Executive Director

**CC:** Tiffany Sostrin  
Mayor's Office of Intergovernmental Affairs

**Date:** November 4, 2019

**Re:** Request for Information from Annual Appropriation Committee Hearing

**ID#:** 78-02 FAQ

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The attached information is in response to questions posed at our department's hearing on November 1, 2019 to discuss the proposed 2020 budget.

Alderman Ramirez-Rosa asked for the Board of Ethics frequently asked questions flier/pamphlet that can be provided at Aldermanic Offices.

Currently we have two such documents on our website, but will produce a third in the next few weeks, well in time for distribution to aldermen before January 1, 2020, when the new law takes effect:

<https://www.chicago.gov/content/dam/city/depts/ethics/general/Ordinances/FAQ-noprofit-lobbying.pdf>

<https://www.chicago.gov/content/dam/city/depts/ethics/general/Ordinances/Lob-Fact-Sht.pdf>

As always, please let me know if you have any further questions.



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**To:** The Honorable Pat Dowell  
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**From:** Steve Berlin, Executive Director

**CC:** Tiffany Sostrin  
Mayor's Office of Intergovernmental Affairs

**Date:** November 4, 2019

**Re:** Request for Information from Annual Appropriation Committee Hearing

**ID#:** 78-03 Complaints

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The attached information is in response to questions posed at our department's hearing on November 1, 2019 to discuss the proposed 2020 budget.

Alderman Hairston requested the number of complaints that have been forwarded to the attention of the Attorney General.

Since July 1, 2013, the Board has referred no complaints to the Illinois Attorney General but has referred 67 complaints to the Office of the City Inspector General.

As always, please let me know if you have any further questions.



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**To:** The Honorable Pat Dowell  
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**From:** Steve Berlin, Executive Director

**CC:** Tiffany Sostrin  
Mayor's Office of Intergovernmental Affairs

**Date:** November 4, 2019

**Re:** Request for Information from Annual Appropriation Committee Hearing

**ID#:** 78-04 Ethics Violations

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The attached information is in response to questions posed at our department's hearing on November 1, 2019 to discuss the proposed 2020 budget.

Alderman Tunney asked for information regarding what fines have been paid for Ethics Violations so far in 2019 and total in 2018, as well as where those revenues are accounted.

For 2019, the Board has assessed and collected \$950 for late filings of Statements of Financial Interests; \$41,500 for substantive Ethics Ordinance violations; \$2,834 from City employees, officials and lobbyists who failed to complete ethics training on time; and \$20,431 for lobbying late fines, a total of \$65,715

For 2018, the Board assessed \$42,200 in late lobbying fines, and \$26,000 for late-filing Statements of Financial Interests, for a total of \$66,200.

All fines for violations of the Ordinance go into the following account: AE01 100 78 2005 2710, Fines and Penalties.

As always, please let me know if you have any further questions.



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**To:** The Honorable Pat Dowell  
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**From:** Steve Berlin, Executive Director

**CC:** Tiffany Sostrin  
Mayor's Office of Intergovernmental Affairs

**Date:** November 4, 2019

**Re:** Request for Information from Annual Appropriation Committee Hearing

**ID#:** 78-05 Executive Director

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The attached information is in response to questions posed at our department's hearing on November 1, 2019 to discuss the proposed 2020 budget.

Alderman Cardenas asked when did the Board's Executive Director last receive a raise.

Effective January 1, 2017, to the Executive Director's current annual rate.

As always, please let me know if you have any further questions.



BOARD OF ETHICS  
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**To:** The Honorable Pat Dowell  
Chairman, Committee on the Budget and Government Operations

**From:** Steven I. Berlin, Executive Director

**CC:** Tiffany Sostrin  
Mayor's Office of Intergovernmental Affairs

**Date:** November 19, 2019

**Re:** Alderman Reilly's Supplemental Questions

**ID#:** 78-06 Pre-Hearing Requests

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The following information is provided in response to questions posed by 42<sup>nd</sup> Ward Alderman Brendan Reilly via email on November 18, 2019. He asked for:

- ♦Changes in headcount projected for 2020
- ♦Number of funded vacancies and breakdown for 2020
- ♦Number of vacancies being cut – what positions (job titles) are being eliminated
- ♦Number of non-union employees to receive salary increases in 2020 budget
- ♦Description of outsourced services project for 2020
- ♦List of savings and cost-efficiencies due to zero-based budgeting

Our responses:

- ♦ none
- ♦ none
- ♦ none

- ♦ There is only one employee, Edward Primer, who will receive an increase in 2020.
- ♦ As with previous years, the following services will continue to be outsourced in the forthcoming budget year(s) for the following BOE project:

Annual Mandatory Ethics Training DVD:

Each year, we hire an outside source for the manual recording or "voice over" for the annual Mandatory Employee Ethics Training DVD, which is used to conduct ethics training for departments and employees who work in the field and do not have daily access to a City computer. The mandatory online ethics training program can only be accessed and completed using a City computer; However, the DVD serves as an alternative for those departments and employees that do not have access to a city computer. The following departments rely on the training DVD:

Streets and Sanitation  
Transportation  
Department of Water  
OEMC  
CFD  
CPD

In addition, we will continue to outsource the production, creation, and duplication of the DVD via City Vendor October, LLC

- ♦ none

As always, please let me know if you have any further questions.

